

Securities/Investor Settlement Instruction 證券/投資者交收指示

Please complete this form and return it to us by fax or Email. 請填妥下列表格，然後將表格傳真或電郵給我們。 (Fax 傳真號碼: 2161 2901 Email: cs@cs1sec.com)

Account Name 戶口名稱: _____ Account Number 戶口編號: _____

Participant Name 參與者名稱: _____ Participant ID 參與者編號: _____

Contact Person 聯絡人: _____ Contact Telephone no. 聯絡人電話: _____ Settlement Date 交收日: _____

Instruction Type 指令型式:

☐ **Receive** the following securities for my / our above account 從下列股票行收取股票

☐ **Deliver** the following securities from my/ our above account 將股票轉到下列股票行

Stock Code 股份代號	Name of Stock 股份名稱	No. of Share(s) 股份數量	Payment Amount & Instruction* 付款數額及指示*		For Internal Use Only (CCASS)	
					Input By::	Authorize By:

Please debit the captioned Account for any costs or charges incurred. 請在帳戶支取所需費用。

*Note 註： F – Free of Payment (FOP) 毋須付款
 D - Delivery versus Payment (DVP) 貨銀對付 - Shares are delivered to the counterparty through CCASS while cash payments are made overnight through the payment system of Hong Kong Interbank Clearing Ltd (“HKICL”). Client are thus exposed to credit risk. 股份轉移透過中央結算系統進行，而有關款項則於當晚才透過香港銀行同業結算有限公司的系統進行交收。客戶因而面對信貸風險。
 R – Realtime DVP (RDVP) 即時貨銀對付- Shares are delivered to the counterparty through CCASS upon receipt of payment confirmation from HKICL. CHATS payment charges will be borne by clients. 當香港結算收到香港銀行同業結算有限公司的付款確認後，便即時發放有關股票予付款方參與者。CHATS費用將由客戶繳付。

Customer Signature _____

Date : _____

FOR OFFICE USE ONLY							
VERIFIED BY:	APPROVED BY:	BOS INPUT BY:	BOS APPROVED BY:	CCASS UNSETTLE		CCASS SETTLE	
				BOS INPUT BY:	BOS APPROVED BY:	BOS INPUT BY:	BOS APPROVED BY: